

# Sum rules and factorization of double parton distributions

Krzysztof Golec-Biernat

Institute of Nuclear Physics  
Polish Academy of Sciences

(together with Anna Staśto: 2212.02289/PRD)

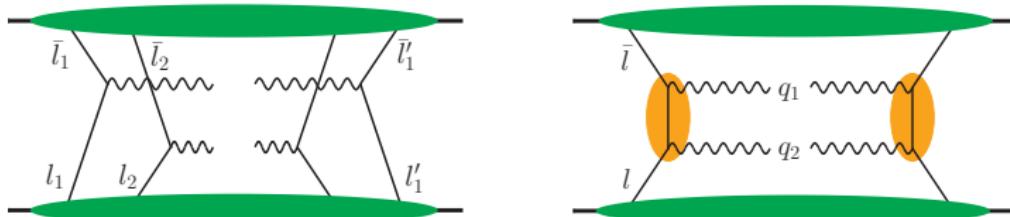
IFJ Theory Department Seminar, 15<sup>th</sup> June 2023

1. Double parton scattering and double parton distributions
2. Evolution equations and sum rules
3. Small  $x$  factorization and momentum sum rule

# Double parton scattering - DPS

- With increasing  $\sqrt{s}$  multiparton interactions become increasing important.
- The first step - DPS

in addition to SPS



- Collinear factorization with **double parton distribution functions** (DPDFs):

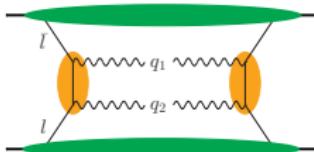
$$\frac{d\sigma_{DPS}^{AB}}{dx_1 dx_2 d\bar{x}_1 d\bar{x}_2} = \sum_{f_i \bar{f}_j} \int d^2 \Delta D_{f_1 f_2}(x_1, x_2, \Delta) \sigma_{f_1 \bar{f}_1}^A(q_1) \sigma_{f_2 \bar{f}_2}^B(q_2) D_{\bar{f}_1 \bar{f}_2}(\bar{x}_1, \bar{x}_2, -\Delta)$$

- In addition, two hard scale dependence in DPDFs:  $q_1, q_2$

(M. Diehl, D. Ostermeier, A. Schäfer, 1111.0910/JHEP)

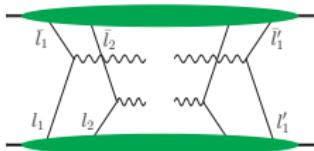
# DPS versus SPS

$$\frac{s d\sigma}{\prod_{i=1}^2 dx_i d\bar{x}_i d^2 \mathbf{q}_i} \quad \frac{s d\sigma}{\prod_{i=1}^2 dx_i d\bar{x}_i}$$



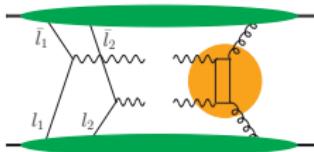
$$\frac{1}{\Lambda^2 Q^2}$$

1



$$\frac{1}{\Lambda^2 Q^2}$$

$$\frac{\Lambda^2}{Q^2}$$



$$\frac{1}{\Lambda^2 Q^2}$$

$$\frac{\Lambda^2}{Q^2}$$

- Inclusive DPS is enhanced due to rising gluon density for  $x \rightarrow 0$ :

$$d\sigma_{DPS}^{AB} \sim g^4(x)$$

$$d\sigma_{SPS}^{AB} \sim g^2(x)$$

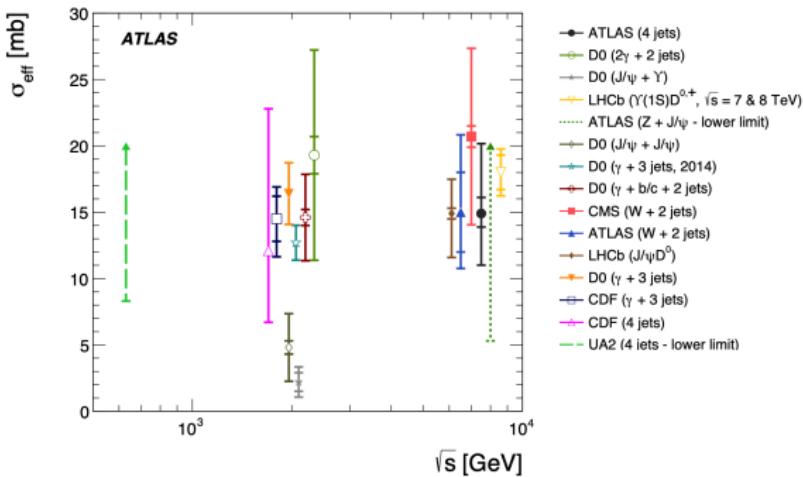
# Standard approach to DPS

- In the first approximation:

$$D_{f_1 f_2}(x_1, x_2, \Delta) = D_{f_1}(x_1) D_{f_2}(x_2) F(\Delta)$$

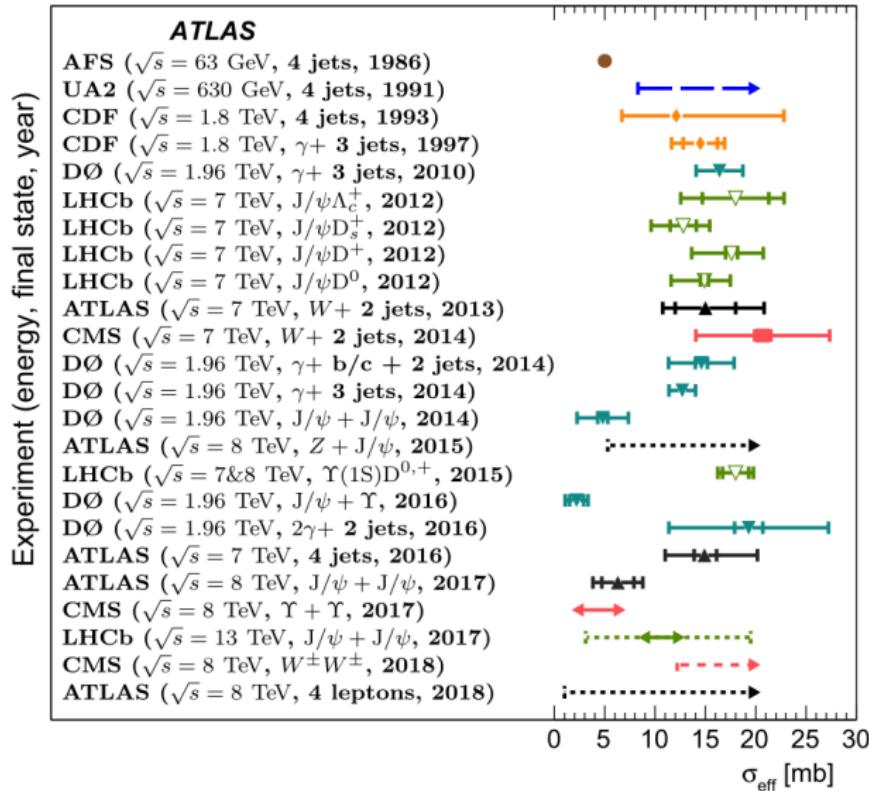
- Pocket formula:

$$\sigma_{DPS}^{AB} = \sigma_{SPS}^A \sigma_{SPS}^B \int d^2\Delta F(\Delta)F(-\Delta) = \frac{\sigma_{SPS}^A \sigma_{SPS}^B}{\sigma_{eff}}$$

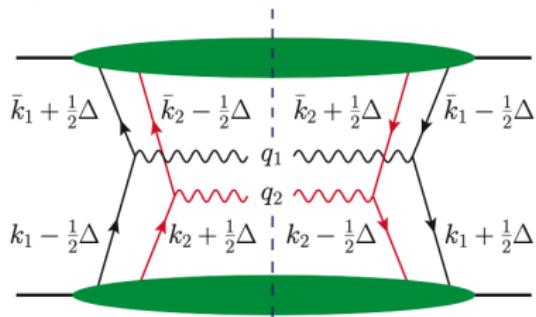


- Goal: to go beyond this approximation.

# $\sigma_{\text{eff}}$ from experiments



- Double parton distributions are basic objects in DPS:



- DPDFs integrated over transverse momenta:

$$D_{f_1 f_2}(x_1, x_2, \Delta) = \int d^2 \mathbf{k}_1 d^2 \mathbf{k}_2 F_{f_1 f_2}(x_1, x_2, \mathbf{k}_1, \mathbf{k}_2, \Delta)$$

- Spectral condition:

$$0 < x_1 + x_2 \leq 1$$

# DPDFs in $\mathbf{y}$ space

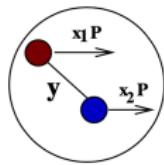
- ▶ Fourier transformed DPDFs (color singlet, spin averaged):

$$\tilde{D}_{f_1 f_2}(x_1, x_2, \mathbf{y}) = \int d^2 \Delta e^{-i\Delta \cdot \mathbf{y}} D_{f_1 f_2}(x_1, x_2, \Delta)$$

- ▶ Definition through twist-2 partonic operators:

$$\tilde{D}_{f_1 f_2}(x_1, x_2, \mathbf{y}) = \int dy^- \frac{dz_1^-}{2\pi} \frac{dz_2^-}{2\pi} e^{i[z_1^-(x_1 P^+) + z_2^-(x_2 P^+)]} \langle P | \mathcal{O}_{f_1}(0, z_1) \mathcal{O}_{f_2}(\mathbf{y}, z_2) | P \rangle$$

and  $z_1 = (0, z_1^-, \mathbf{0})$ ,  $z_2 = (0, z_2^-, \mathbf{0})$  and  $\mathbf{y} = (0, y^-, \mathbf{y})$



- ▶ Probabilistic interpretation only for  $\mathbf{y}$  averaged DDPFs:

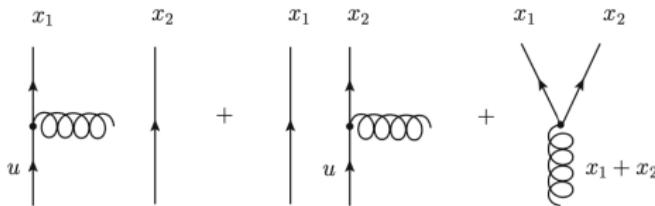
$$\int d^2 \mathbf{y} e^{i\Delta \cdot \mathbf{y}} \Big|_{\Delta=0} \tilde{D}_{f_1 f_2}(x_1, x_2, \mathbf{y}) = D_{f_1 f_2}(x_1, x_2, \Delta = 0)$$

# Evolution equations for DPDFs

- DGLAP-like equations for  $D_{f_1 f_2}(x_1, x_2, Q) \equiv D_{f_1 f_2}(x_1, x_2, \Delta = 0; Q, Q)$

$$\begin{aligned} \frac{\partial D_{f_1 f_2}(x_1, x_2, Q)}{\partial \ln Q^2} = & \frac{\alpha_s(Q)}{2\pi} \sum_f \left\{ \int_{x_1}^{1-x_2} \frac{du}{u} P_{f_1 f} \left( \frac{x_1}{u} \right) D_{f f_2}(u, x_2, Q) \right. \\ & + \int_{x_2}^{1-x_1} \frac{du}{u} P_{f_2 f} \left( \frac{x_2}{u} \right) D_{f_1 f}(x_1, u, Q) \\ & \left. + \frac{1}{x_1 + x_2} P_{f \rightarrow f_1 f_2}^R \left( \frac{x_1}{x_1 + x_2} \right) D_f(x_1 + x_2, Q) \right\} \end{aligned}$$

- In non-homogenous term **single PDFs**, evolved with DGLAP equations:



( A. Snigirev 2003, J. Gaunt and W.J. Stirling 2009, F.A. Ceccopieri 2011, ... )

## Sum rules

- Momentum and valence quark number sum rules for PDFs:

$$\sum_f \int_0^1 dx \times D_f(x, Q) = 1$$

$$\int_0^1 dx_1 \left[ D_q(x, Q) - D_{\bar{q}}(x, Q) \right] = N_q$$

- Evolution equations for DPDFs obey similar sum rules:

$$\sum_{f_1} \int_0^{1-x_2} dx_1 x_1 D_{f_1 f_2}(x_1, x_2, Q) = (1-x_2) D_{f_2}(x_2, Q)$$

$$\int_0^{1-x_2} dx_1 \left[ D_{q f_2}(x_1, x_2, Q) - D_{\bar{q} f_2}(x_1, x_2, Q) \right] = (N_q - \delta_{q f_2} + \delta_{\bar{q} f_2}) D_{f_2}(x_2, Q)$$

- Analogous sum rules with respect to  $x_2$ .
- Initial conditions for evolution equations should obey these sum rules:

$$D_{f_1 f_2}(x_1, x_2, Q_0),$$

$$D_f(x, Q_0)$$

## Initial conditions - some prescriptions

- ▶ Naive factorized ansatz:

$$D_{f_1 f_2}(x_1, x_2, Q_0) = D_{f_1}(x_1, Q_0) D_{f_2}(x_2, Q_0) \theta(1 - x_1 - x_2)$$

Sum rules badly violated.

- ▶ Gaunt-Stirling prescription (0910.4347/JHEP):

$$D_{f_1 f_2}(x_1, x_2, Q_0) = D_{f_1}(x_1, Q_0) D_{f_2}(x_2, Q_0) \frac{(1 - x_1 - x_2)^2}{(1 - x_1)^{2+\alpha(f_1)} (1 - x_2)^{2+\alpha(f_2)}}$$

Better agreement with sum rules, although still approximate.

- ▶ Analysis in pure gluonic case - momentum sum rule fulfilled exactly:

(KGB, E.Lewandowska, M.Serino, Z.Snyder, A Staśto, 1507.08583/PLB)

## Pure gluonic case

- ▶ MSTW08 fit of single PDFs at initial  $Q_0 = 1$  GeV:

$$D_g(x, Q_0) = \sum_{k=1}^3 A_k x^{\alpha_k} (1-x)^{\beta_k}$$

- ▶ Double gluon distribution (Dirichlet distribution form):

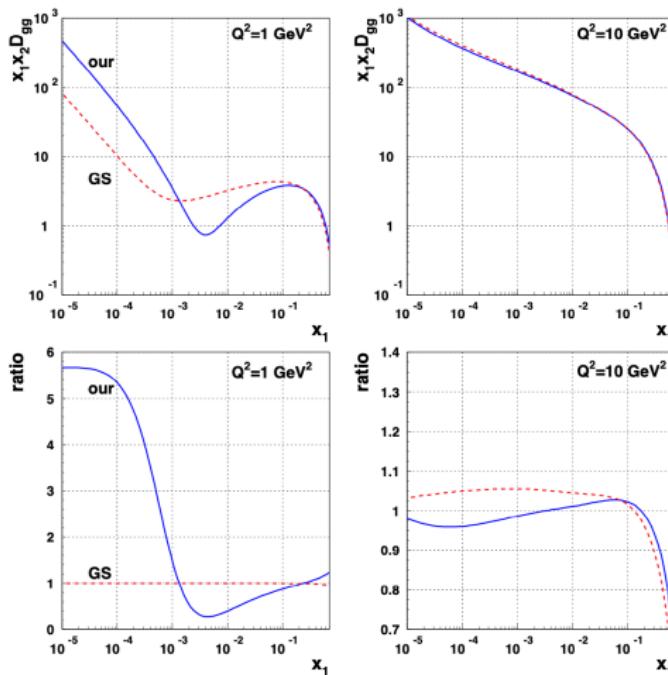
$$D_{gg}(x_1, x_2, Q_0) = \sum_{k=1}^3 A_k \frac{\Gamma(\beta_k + 2)}{\Gamma(\alpha_k + 2)\Gamma(\beta_k - \alpha_k)} (x_1 x_2)^{\alpha_k} (1 - x_1 - x_2)^{\beta_k - \alpha_k - 1}$$

obeys the momentum sum rule exactly:

$$\int_0^{1-x_2} dx_1 x_1 D_{gg}(x_1, x_2, Q_0) = (1 - x_2) D_g(x_2, Q_0)$$

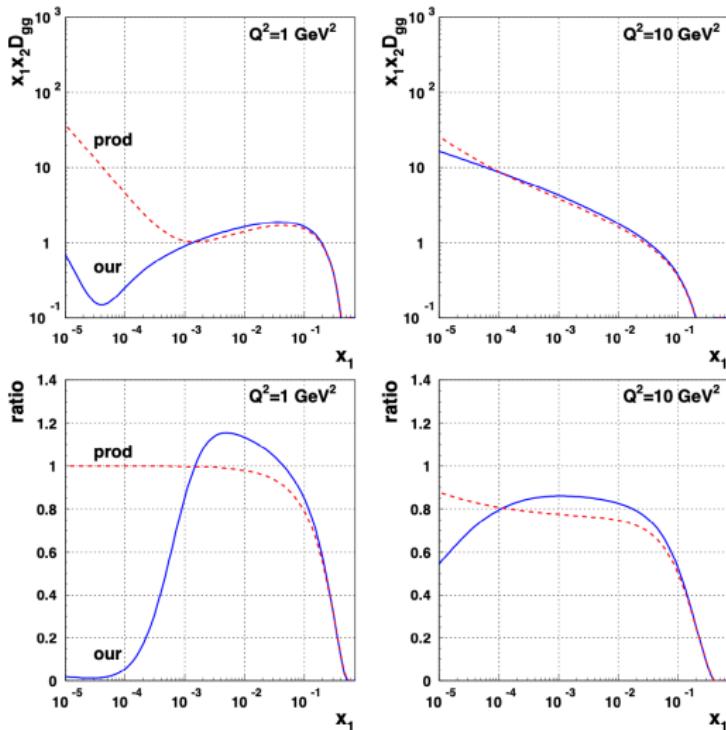
- ▶ Parameters of the single gluon distribution are **only** used.

# Numerical results for $x_2 = 10^{-2}$



- ▶ No small  $x$  factorization in **our** ansatz at the **initial** scale.
- ▶ Small  $x$  factorization at **final** scale:  $D_{gg}(x_1, x_2, Q) \approx D_g(x_1, Q) D_g(x_2, Q)$

# Numerical results for $x_2 = 0.5$



- ▶ Factorization restoration in DPDFs is a **small  $x$**  phenomenon.

# Momentum sum rule and small $x$ factorization

- ▶ Thesis:

Momentum sum rules are **necessary conditions** for restoration of small  $x$  factorization of DPDFs.

- ▶ Case 1: Initial conditions with one term which obey momentum sum rules:

$$D_g(x, Q_0) = A_g x^{\alpha_g} (1-x)^{\beta_g}$$

$$D_{gg}(x_1, x_2, Q_0) = A_g \frac{\Gamma(\beta_g + 2)}{\Gamma(\alpha_g + 2)\Gamma(\beta_g - \alpha_g)} (x_1 x_2)^{\alpha_g} (1 - x_1 - x_2)^{\beta_g - \alpha_g - 1}$$

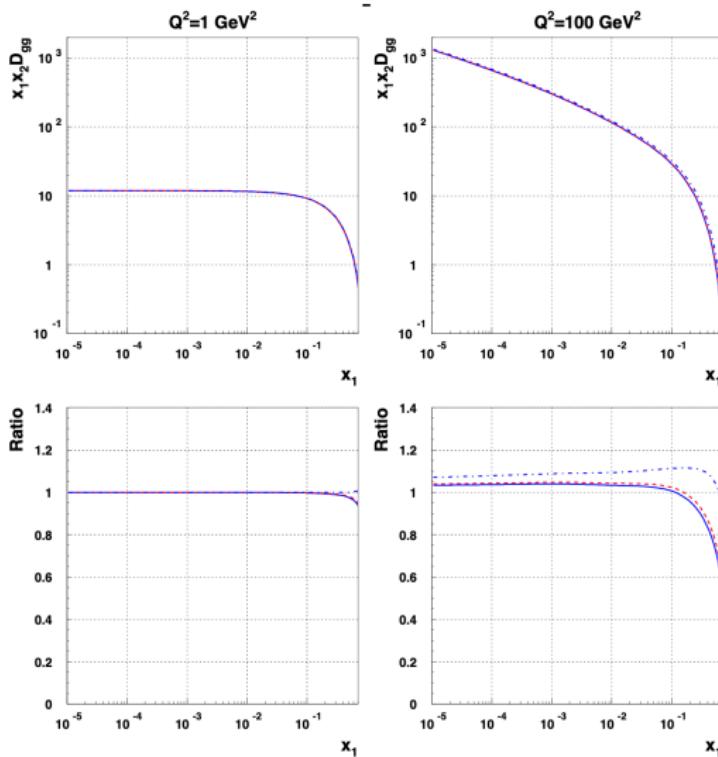
- ▶ From momentum sum rule for single gluon distribution (with 1 on the rhs):

$$A_g = \frac{\Gamma(\alpha_g + \beta_g + 3)}{\Gamma(\alpha_g + 2)\Gamma(\alpha_g + 1)}$$

- ▶ For  $\alpha_g = -1$  small  $x$  factorization at initial scale:

$$D_{gg}(x_1, x_2, Q_0) \approx A_g^2 (x_1 x_2)^{\alpha_g} \approx D_g(x_1, Q_0) D_g(x_2, Q_0)$$

# Numerical results for $x_2 = 10^{-2}$ with momentum sum rule



- Small  $x$  factorization at final scale:  $D_{gg}(x_1, x_2, Q) \approx D_g(x_1, Q)D_g(x_2, Q)$ .

## Violation of momentum sum rule

- ▶ Case 1:  $\alpha_g = -1$  in the initial conditions:

$$D_g(x, Q_0) = A_g x^{\alpha_g} (1-x)^{\beta_g}$$

$$D_{gg}(x_1, x_2, Q_0) = A_g^2 (x_1 x_2)^{\alpha_g} (1-x_1-x_2)^{\beta_g-\alpha_g-1}$$

- ▶ Case 2: modification of large  $x$  behaviour of double gluon distribution:

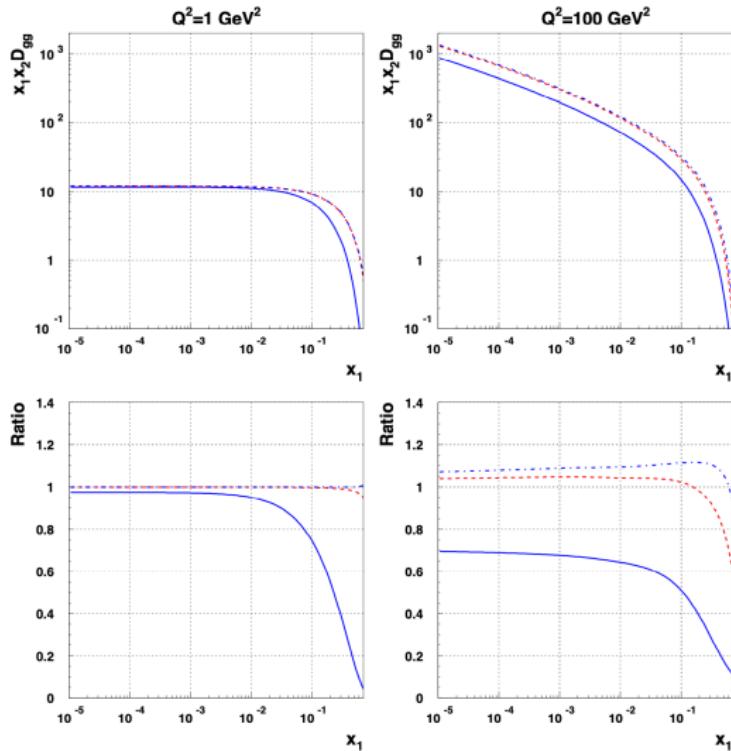
$$\beta_g - \alpha_g - 1 = 2.5 \rightarrow 5$$

- ▶ Momentum sum rule is violated at initial scale:

$$\int_0^{1-x_2} dx_1 x_1 D_{gg}(x_1, x_2, Q_0) \neq (1-x_2) D_g(x_2, Q_0)$$

- ▶ Small  $x$  factorization at initial scale is kept by construction.

# Numerical results for $x_2 = 10^{-2}$ without momentum sum rule



- Small  $x$  fact. **violated** at final scale:  $D_{gg}(x_1, x_2, Q) \neq D_g(x_1, Q)D_g(x_2, Q)$ .

# Analytical insight

- Mellin moments:

$$\tilde{D}_g(n, Q) = \int_0^1 dx x^{n-1} D_g(x, Q)$$

$$\tilde{D}_{gg}(n_1, n_2, Q) = \int_0^1 dx_1 \int_0^1 dx_2 x_1^{n_1-1} x_2^{n_2-1} \theta(1 - x_1 - x_2) D_{gg}(x_1, x_2, Q)$$

- Momentum sum rules in Mellin moment space:

$$\tilde{D}_g(2, Q) = 1 \tag{1}$$

$$\tilde{D}_{gg}(n_1, 2, Q) = \tilde{D}_g(n_1, Q) - \tilde{D}_g(n_1 + 1, Q) \tag{2}$$

- Solution of evolution equations for  $Q \rightarrow \infty$ :

$$\tilde{D}_{gg}(n_1, n_2, Q) \approx e^{[\gamma(n_1) + \gamma(n_2)]t} \left\{ \tilde{D}_{gg}(n_1, n_2, Q_0) + \tilde{D}_g(n_1 + n_2 - 1, Q_0) \right\}$$

- Small  $x$  factorization at  $Q$ :

$$\tilde{D}_{gg}(n_1, n_2, Q) \approx e^{[\gamma(n_1) + \gamma(n_2)]t} \left\{ \tilde{D}_g(n_1, Q_0) \tilde{D}_g(n_2, Q_0) \right\}$$

- ▶ Small  $x$  factorization when initial conditions obey:

$$\tilde{D}_{gg}(n_1, n_2, Q_0) + \tilde{D}_g(n_1 + n_2 - 1, Q_0) \approx \tilde{D}_g(n_1, Q_0) \tilde{D}_g(n_2, Q_0)$$

- ▶ Setting  $n_2 = 2$  and using sum rule (1),  $\tilde{D}_g(2, Q_0) = 1$ , we obtain

$$\tilde{D}_{gg}(n_1, 2, Q_0) \approx \tilde{D}_g(n_1, Q_0) - \tilde{D}_g(n_1 + 1, Q_0)$$

which is sum rule (2).

- ▶ Momentum sum rules are **necessary cond.** for small  $x$  fact. at  $Q \gg Q_0$ :

$$D_{gg}(x_1, x_2, Q) \approx D_g(x_1, Q) D_g(x_2, Q)$$

## Including quarks

- ▶ In order to include quarks in our analysis, the parameters of single PDFs must obey unrealistic condition:

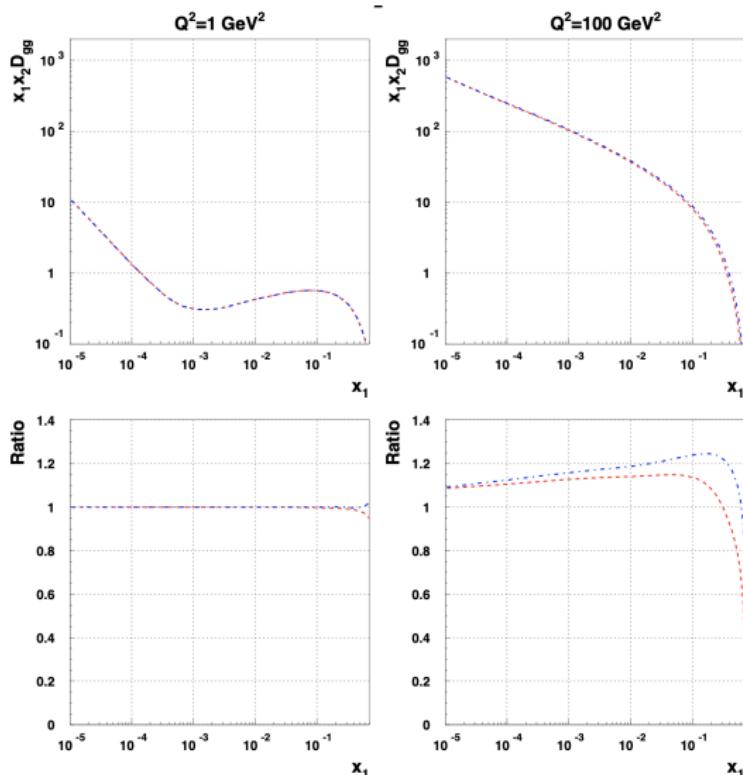
$$\beta_k^{f_2} - \beta_k^{f_1} = \alpha_k^{f_2} - \alpha_k^{f_1}$$

- ▶ The program of the construction of the initial DPDFs out of the well known single PDFs with the sum rules exactly fulfilled is unrealistic.
- ▶ In practice, only GS ansatz for initial distribution, which approximately fulfills sum rules:

$$D_{f_1 f_2}(x_1, x_2, Q_0) = D_{f_1}(x_1, Q_0) D_{f_2}(x_2, Q_0) \frac{(1 - x_1 - x_2)^2}{(1 - x_1)^{2+\alpha(f_1)} (1 - x_2)^{2+\alpha(f_2)}}$$

- ▶ Small  $x$  factorization after evolution up to 10 – 20% for GS ansatz.

# Numerical results for $x_2 = 10^{-2}$ for evolution with quarks



- For GS (red) and fully factorized (blue) initial conditions.

- ▶ For  $\Delta \neq 0$  the small  $x$  factorization might be broken.
- ▶ In [M. Diehl, T. Kasemets, S. Keane, 1401.1233/JHEP](#), the following ansatz was proposed for  $x_{1,2} < 0.1$ , due to parton correlations in  $y$ -space:

$$D_{f_1 f_2}(x_1, x_2, \Delta, Q) = D_{f_1}(x_1, Q) D_{f_2}(x_2, Q) \exp\left\{-h_{f_1 f_2}(x_1, x_2) \Delta^2\right\}$$

- ▶ This has implications for the pocket formula for DPS cross sections:

$$\sigma_{\text{eff}} = \sigma_{\text{eff}}(x_1, x_2)$$

- ▶ More studies are necessary:

[M. Diehl, J.R. Gaunt, D.M. Lang, T. Plößl, A. Schäfer, 2001.10428/EPJC](#)

- ▶ Factorization of DPDFs is **small  $x$**  phenomenon.
- ▶ We showed that the momentum sum rules are **necessary conditions** for the small  $x$  factorization of evolved DPDFs.
- ▶ This conclusion motivates the **importance** of the construction of initial DPDFs which **fulfil** the momentum sum rules.
- ▶ The pocket formula for DPS cross sections **makes sense** as a first approximation for  $x_1, x_2 \ll 1$ .
- ▶ More detailed studies are **necessary**.

Thank you for your attention